

ENVIRONMENTAL COMPLIANCE STATEMENT

Harmonic Inc. manufactures high quality and innovative IT and telecommunications equipment, video delivery infrastructure solutions and services for its customers worldwide. Harmonic is committed to providing our customers with safe and environmentally friendly products that are compliant with all relevant regulations, customer specifications, and environmental legislation, including the directives described below.

EU RoHS

In July 2006, the European Union's (EU) Directive (2002/95/EC) on the Restriction of the use of certain Hazardous Substances (RoHS) in Electrical and Electronic Equipment (EEE) went into effect, and in July, 2011, the European Union's RoHS Recast Directive (2011/65/EU) also known as RoHS II entered into force.

Harmonic understands the environmental risks associated with the substances covered by the RoHS Directive and has committed to eliminating or reducing the use of these, as well as other environmentally sensitive substances in our products. Harmonic also continues to comply with the requirements under RoHS II.

For more information, please visit <u>EU RoHS directive</u> page at official EU website.

EU REACH

REACH (Registration, Evaluation, Authorization and restriction of Chemicals) (EC 1907/2006) is a European Union's regulation on chemicals and their safe use which came into force in June, 2007.

Harmonic supports the basic aim of REACH in improving the protection of human health and environment through the better and earlier identification of intrinsic properties of chemical substances. Harmonic products are considered "articles" under REACH; therefore, we are required to provide recipients of our products with information on Substance of Very High Concern (SVHC) present in concentration above 0.1% (w/w).

Substances in our products are not intended to be released under normal or reasonably foreseeable conditions of use; therefore, the registration requirement in REACH Article 7(1) does not apply to our products.

For more information, please visit REACH regulation page at official EU website.

China RoHS

China's regulation on restriction of the use of certain hazardous substances commonly (China RoHS), is applicable to all Electronic and Information Products (EIPs) and parts sold in China after March 01, 2007. China RoHS regulation restricts the use of the same six substances as the European Union's ROHS, but has requirements for product labeling and regulated substance information disclosure.

Harmonic complies with China RoHS Phase I for labeling and information disclosure requirements and continues to monitor new developments in China RoHS Phase II towards substance restriction and certification program.

For more information, please visit China RoHS regulation page at official US export website.



Other RoHS and REACH type Regulations

Harmonic will comply with RoHS and REACH type regulations evolving in other countries, if they become relevant to our products or in markets where we sell our products.

Waste Electrical and Electronic Equipment (WEEE)

European Parliament and the Council of the European Union's WEEE Directive (2002/96/EC) came into force on August, 2005 and, were more recently amended in July, 2012. This directive encourages the reuse, recycling, and recovery of WEEE and to improve the environmental performance of all operators involved in the life cycle of electrical and electronic equipment, especially those dealing with WEEE. Harmonic ensures that all requirements for registration, reporting, design and data tracking are complied with to meet the objectives of the WEEE directive.

The crossed-out wheeled bin symbol on a Harmonic-branded commercial product indicates that the product should not be disposed of along with municipal waste, but invites our customers to return the product to us under Harmonic's WEEE Take-Back program for product disposal. Harmonic will pay for the costs of shipping and will provide a Certificate of Recycling or a Certificate of Destruction upon request. For more information on collection, reuse and recycling or to initiate the WEEE Take-Back process for please contact Harmonic through WEEE Take-Back Request Form.

For more information, please visit WEEE directive page at official EU website.

Battery Directive

In September 2006, the European Union's Directive 2006/66/EC (Battery Directive) came into force with an aim to prohibit the sale of batteries and accumulators containing hazardous substances and to set rules and promote collection, treatment, recycling and disposal of waste batteries and accumulators. This directive applies to spent batteries collected together with WEEE and requires their removal and separate collection. Once removed from WEEE, spent batteries are governed by the Battery Directive. Harmonic uses lithium batteries in its products and our responsibility under the Battery Directive is taken care of under our WEEE Take-Back program.

For more information, please visit <u>Batteries and Accumulators directive</u> page at official EU webite.

Harmonic is committed to manufacturing environmentally safe products for the community, and will make reasonable efforts and required adjustments to its practices, if necessary, to comply with various environmental directives and industry initiatives on the elimination of hazardous substances, labeling, marking, certification and registration as required in markets where we sell our products.